

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT  
(Use several sheets if necessary)

Date Submitted to PTO: **August 13, 2001**

ATTY DOCKET NO.  
**263.1608**

APPLICATION NO.  
**09/898,776**

APPLICANT  
**YUAN SHAO**

FILING DATE  
**July 3, 2001**

GROUP  
**Unassigned**

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<b>MD</b>	<b>5,559,925</b>	<b>9/24/96</b>	<b>AUSTIN</b>	<b>395</b>	<b>2.4</b>	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<b>MD</b>	<b>"Correcting Recognition Errors Via Discriminative Utterance Verification", Setlur, et al., Lucent Technologies, Naperville, IL, pp. 602-605</b>
<b>MD</b>	<b>"A Probabilistic Approach To Confidence Estimation and Evaluation", Gillick, et al., Dragon Systems, Inc., Newton, MA,</b>
<b>MD</b>	<b>"Neural - Network Based Measures of Confidence For Word Recognition", Weintraub, et al., Speech Technology and Research Laboratory, IEEE, 1997, pp. 887-890</b>
<b>MD</b>	<b>"Detecting Misrecognition and Out-of-Vocabulary Words", IEEE 1994 Young, School of Computer Science, Carnegie Mellon University, pp. 21-24</b>
<b>MD</b>	<b>"Estimating Confidence Using Word Lattices, Kemp, et al., ESCA, Eurospeech 97, Interactive Systems Laboratories, University of Karlsruhe, Germany, pp 827-830</b>
<b>MD</b>	<b>"Using Word Probabilities As Confidence Measures", Wessel, et al., Lehrstuhl-fur Informatik VI, RWTH Aachen - University of Technology, Germany, pp. 225-228</b>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.

Sheet 1 of 1

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*M. M. G. G. G.*

*11/15/04*

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